

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM SD**

**Specialized Disclosure Report**

ENPRO INDUSTRIES, INC.

(Exact name of Registrant, as specified in its charter)

North Carolina

(State or other jurisdiction  
of incorporation)

001-31225

(Commission file number)

01-0573945

(I.R.S. Employer  
Identification No.)

5605 Carnegie Boulevard, Suite 500, Charlotte, North Carolina

(Address of principal executive offices)

28209

(Zip Code)

Thomas A. Price, 704-731-1500

(Name and telephone number, including area code, of the person to contact in connection with  
this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and  
provide the period to which the information in this form applies:

  x   Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from  
January 1 to December 31, 2020.

Item 1.01      Conflict Minerals Disclosure and Report

Based upon its reasonable country of origin inquiry, EnPro Industries, Inc. ("EnPro") has determined that it is necessary to file a Conflict Minerals Report.

Conflict Minerals Disclosure

EnPro has filed a Conflict Minerals Report as Exhibit 1.01 to this report.

The Conflicts Minerals Report is also available at:

<https://www.enproindustries.com/for-investors/governance/corporate-governance-documents/conflict-minerals-compliance/default.aspx>

Item 1.02      Exhibit

The Conflict Minerals Report is filed as Exhibit 1.01 hereto.

Item 2.01      Exhibits

- (d)    Exhibit 1.01 – EnPro Industries, Inc. – 2020 Conflict Minerals Report

## **EXHIBIT INDEX**

### **Exhibit Number**

### **Exhibit**

1.01

[EnPro Industries, Inc. – 2020 Conflict Minerals Report](#)

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 1, 2021

### ENPRO INDUSTRIES, INC.

By: /s/ J. Milton Childress II  
J. Milton Childress II  
Executive Vice President and Chief Financial Officer

**EnPro Industries, Inc. – 2020 Conflict Minerals Report**

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## **1. Introduction**

Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act and Rule 13p-1 under the Securities Exchange Act (collectively, the “Rule”) relate to the use of gold, as well as tin, tantalum and tungsten, which are derivatives of cassiterite, columbite-tantalite and wolframite (collectively, “Conflict Minerals”), in manufactured products. Pursuant to the Rule, each issuer for whom Conflict Minerals are necessary to the functionality or production of a product that they manufacture must annually conduct a reasonable inquiry to determine whether any of the Conflict Minerals used by such issuer originated in the Democratic Republic of the Congo (DRC) or an adjoining country (collectively, the “Covered Countries”). If such issuer has reason to believe that its Conflict Minerals may have originated in the Covered Countries, the Rule requires such issuer to submit a report to the Securities and Exchange Commission (“SEC”) that includes, among other things, a description of the measures it took to exercise due diligence on the Conflict Minerals’ source and chain of custody, if known, the facilities used to process the Conflict Minerals and the country of origin of the Conflict Minerals, and the efforts to determine the mine or location of origin.

In accordance with the Rule, EnPro Industries, Inc. (“EnPro,” the “Company,” “we” or “us”) is submitting this Conflict Minerals Report for the period January 1, 2020 through December 31, 2020 (the “Reporting Period”).

## **2. Description of EnPro's Products**

EnPro is a leader in designing, developing, manufacturing, servicing and marketing proprietary engineered industrial products and serve a wide variety of customers in varied industries around the world. Its products sold during the Reporting Period included metallic, non- metallic and composite material gaskets, dynamic seals, compression packing, hydraulic components, expansion joints, flange sealing and isolation products, pipeline casing spacers/isolators, casing end seals, modular sealing systems for sealing pipeline penetrations, aseptic fluid transfer products, high performance metal seals, mechanical seals, elastomeric seals, edge-welded bellows, pedestals for semiconductor manufacturing, polytetrafluoroethylene (“PTFE”) products, heavy-duty truck wheel-end component systems, including seals, hubcaps, mileage counters, bearings, locking nuts, suspension components, such as steering knuckle king-pins and bushings, spring pins and bushings, other polymer bushing components, self-lubricating, non-rolling, metal polymer, engineered plastics, and fiber reinforced composite bearing products.

Certain of our products contain Conflict Minerals. Due to the depth of our supply chain, we are often well removed from the sources of ore from which these metals are produced and the smelters/refiners that process those ores; the efforts undertaken to identify the countries of origin of those ores reflect our circumstances and position in the supply chain. The amount of information available globally on the traceability and sourcing of these ores is extremely limited at this time; this situation is not unique to us. We have taken steps to identify the applicable smelters and refiners of Conflict Minerals in the supply chain; however, we believe that the smelters and refiners of Conflict Minerals are best situated to identify the sources and countries of origin of the Conflict Minerals. We rely on our suppliers to provide us with information on the origin of any Conflict Minerals contained in components and materials supplied to us.

The due diligence efforts noted below were undertaken on the products manufactured by the Company in 2020, other than products manufactured by businesses acquired in 2020.

### **3. The Rule's 3 Step Process**

The Rule requires filers to perform a three-step process: (i) determine the applicability of the Rule to their business, (ii) perform a Reasonable Country of Origin Inquiry (“RCOI”) and (iii) conduct due diligence on the source and chain of custody.

#### **A. Applicability of the Rule**

Based on due diligence conducted within each of its divisions, EnPro determined that during the Reporting Period (i) Conflict Minerals were “necessary to the functionality or production” of certain products that it manufactured or contracted to be manufactured and (ii) all of its divisions had components or materials subject to the Rule.

#### **B. Reasonable Country of Origin Inquiry (RCOI)**

The Rule requires EnPro to engage in a RCOI, which is a survey process to be performed in good faith based on EnPro’s facts and circumstances such as size, products, relationships with suppliers and supply chain visibility.

For the Reporting Period, EnPro sought to obtain reasonable representations from each surveyed supplier indicating the facilities in which Conflict Minerals in its supply chain were processed, whether such Conflict Minerals originated in the Covered Countries, and if such Conflict Minerals came from recycled or scrap sources.

EnPro retained a third-party service provider, Assent Compliance (“Assent”), to assist with reviewing its supply chain for the Reporting Period. EnPro provided Assent with a list of suppliers that were determined to be in scope for the RCOI based on the methodology described in Section 4, OECD Step 2 below. This list was uploaded to the Assent Conflict Minerals Module (the “Assent Module”).

EnPro used the EICC-GeSI Conflict Minerals Reporting Template (“CMRT”) version 5.12 to survey in-scope suppliers for each EnPro division. Suppliers were contacted via the Assent Module, a SaaS platform provided by Assent that enables users to complete and track supplier communications, as well as allow suppliers to upload completed CMRTs directly to the platform for assessment and management.

Assent requested that all in-scope suppliers complete a CMRT and included training and education to guide suppliers on best practices and the use of this template. Assent monitored and tracked communications in the Assent Module for future reporting and transparency. Automated data validation was performed on submitted CMRTs, with the goal of increasing the accuracy of submissions and identifying contradictory answers. Suppliers were contacted in regards to invalid forms.

Assent compared the list of smelters and refiners provided in supplier responses to the lists of smelters maintained by the Conflict-Free Sourcing Initiative (“CFSI”). Most CMRTs were completed on an EnPro division level basis, which did not allow EnPro to identify which smelters or refiners listed by its suppliers actually processed the Conflict Minerals contained in its products.



Assent reported to EnPro that, as of May 20, 2021, it believes 242 of 302 known operational smelters and refiners were classified as either “conformant” or “active” in the “Responsible Minerals Assurance Process” (formerly known as “Conflict-Free Smelter Program”), which is a program conducted by the Responsible Mineral Initiative. On behalf of EnPro and other Assent customers, Assent conducted outreach to encourage the remaining smelting and refining facilities to participate in the Responsible Minerals Audit Process (RMAP) through the Responsible Minerals Initiative (RMI) or a cross-recognized program.

For additional information regarding EnPro’s RCOI process, please see the descriptions of OECD Step 1 and OECD Step 2 in Section 4 below.

### **C. Due Diligence on the Source and Chain of Custody of Conflict Minerals**

As required by the Rule, EnPro conducted due diligence on the source and chain of custody of Conflict Minerals used in its supply chain.

For additional information regarding EnPro’s due diligence efforts, please see the descriptions of OECD Step 3, OECD Step 4 and OECD Step 5 in Section 4 hereof.

Based on its due diligence for the Reporting Period, EnPro was unable to conclude that Conflict Minerals used in its supply chain during the Reporting Period did not originate in the Covered Countries; therefore, we have furnished this Conflict Minerals Report.

## **4. EnPro's OECD-Based Conflict Minerals Compliance Program**

Consistent with the Rule, we designed our processes based on the internationally recognized due diligence framework developed by the Organisation for Economic Cooperation and Development (“OECD”) titled “Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected Areas and High-Risk Areas (2016).” Our Conflict Minerals compliance program is based on the five steps that comprise that framework. Below is a description of the measures we have performed under our Conflict Minerals compliance program.

### **OECD Step 1: Established Company Management Systems**

**A.** EnPro structured an internal management system to support supply chain due diligence as described below.

- We adopted a Conflict Minerals policy statement.
- We assigned authority and responsibility for the implementation of EnPro’s supply chain due diligence process to a Conflict Minerals Steering Committee, which includes cross-functional participation from our Supply Chain, Finance and Legal departments.
- The Conflict Minerals Steering Committee established a Conflict Minerals Team consisting of supply chain professionals from each EnPro division, as well as a customer relationship professional. This team interfaces with internal EnPro functions, suppliers and customers on Conflict Minerals matters.

**B.** In an effort to improve transparency over the Conflict Minerals supply chain, EnPro has taken the following steps:

- We requested that our suppliers that are within the scope of our Conflict Minerals due diligence efforts complete a survey based upon the CMRT template.
- We increased awareness of the Rule through our survey process.
- We communicated to suppliers our expectations on responsible supply chains of minerals from conflict-affected and high-risk areas.
- We adopted a Conflict Minerals record retention policy whereby EnPro maintains Conflict Minerals related records for a minimum of five years.
- In 2016, 2017, 2018, 2019 and 2020, EnPro used third-party web-based tools to partially automate its RCOI efforts.

**C.** EnPro established a company level grievance mechanism allowing any interested party (affected persons or whistle-blowers) to voice concerns regarding the circumstances of mineral extraction, trade, handling and export in a conflict-affected and high-risk area by contacting us at [conflictminerals@enproindustries.com](mailto:conflictminerals@enproindustries.com).

**OECD Step 2: Identified and Assessed Risks in the Supply Chain**

EnPro’s approach to this step varied by division as illustrated below. For the Reporting Period, the Company’s Garlock, Technetics Group (including Technetics Semi) and GGB divisions determined that certain of their products do not incorporate any Conflict Minerals and therefore it was not necessary for them to survey suppliers that supply for those products only. Accordingly, Garlock, Technetics Group and GGB surveyed suppliers only of its other products that may contain Conflict Minerals. Each other EnPro division elected to survey suppliers that represent at least eighty percent (80%) of its aggregate spend during a material portion of the Reporting Period, while disregarding certain suppliers who clearly, by the nature of what they supply, did not provide products that could contain Conflict Minerals.

<b>EnPro Division</b>	<b>Survey Based on Product Analysis</b>	<b>Survey Based on Supplier Analysis</b>
Garlock	✓	
STEMCO		✓
Technetics Group	✓	
GGB	✓	
CPI		✓

**OECD Step 3: Designed and Implemented a Strategy to Respond to Identified Risks**

We designed and adopted a risk management plan as part of our conflict mineral procedures. As necessary, we reported material findings to EnPro senior management, outlining the information gathered and the actual and potential risks identified in the supply chain risk assessment.

#### **OECD Step 4: Third-Party Audits of Smelter Due Diligence Practices**

EnPro does not contract directly with smelters and does not perform or direct audits of these entities within our supply chain. We rely on initiatives such as the CFSI to help verify conflict-free smelters and contribute to the improvement of due diligence practices used by smelters and others throughout the supply chain.

#### **OECD Step 5: Report Annually on Supply Chain Due Diligence**

EnPro reports annually, via Form SD, to the SEC regarding its use of Conflict Minerals, including a brief description of its due diligence efforts for responsible sourcing of minerals from conflict-affected and high-risk areas. When required, we also file a Conflict Minerals Report. We provided a link on our website so that the public may view our Conflict Minerals policy statement and the most recently filed Form SD and Conflict Minerals Report.

### **5. Facilities Used to Process Conflict Minerals in Covered Products, if Known**

The survey that we sent to suppliers was designed to identify the facilities used to process the Conflict Minerals used in our products. While EnPro has been able to identify certain smelters that we believe are part of our extended supply chain based on our RCOI, our suppliers were unable to represent to us that Conflict Minerals from smelters they listed had actually been included in components they supplied to EnPro. We have therefore elected not to present the smelter names in this report.

### **6. Anticipated Future Measures**

In future years, we will continue to focus on improving the quality of supplier responses. We may also adjust our RCOI to include new suppliers as appropriate. For 2021, we intend to engage Assent to again assist with conducting our RCOI.

Going forward, EnPro's due diligence approach will involve working through its suppliers, who in turn will contact their suppliers, with this process repeated until reaching the supplier who is supplied directly from the smelter. If we discover that any material, part or component we procure contains Conflict Minerals that originate in the Conflict Region and is used to fund conflict, we will take appropriate actions to transition our product(s) to be "DRC conflict free" (defined in the Rule as "products that do not contain conflict minerals that directly or indirectly finance or benefit armed groups in the" Covered Countries). We will also use this information to refine our due diligence process so that we can better allocate our resources and efforts.

### **7. Products That Do Not Contain Columbite-Tantalite, Cassiterite, Wolframite, Tin, Tantalum, Tungsten or Gold**

For informational purposes, below is a partial list of EnPro products that do not contain columbite-tantalite, cassiterite, wolframite, tin, tantalum, tungsten or gold, grouped by division.

## **STEMCO**

Leather and Rubber Seal Products

## **CPI**

Polymer based (non-metallic filled) piston, rider and packing rings  
Polymer valve discs

## **Garlock**

Vegetable fiber gasketing material  
Cork gasketing material  
Nitrile rubber gasketing material  
Various compressed non-asbestos gasketing materials (Black, Off White, Green and Grey)  
Blue Gard brand compressed non-asbestos gasketing material (Black, Off White and Grey)  
Graph-Lock brand gasket material (without inserts)  
Gylon PTFE gasketing material (Blue, Off White, Fawn, Black and Green)  
Gylon diaphragms

PTFE joint sealants  
Carbon Fiber high temperature gasketing material  
Woven fiber valve packing materials

## **Technetics (including Technetics Semi)**

Gasket and sealing products manufactured from:

- Solid Polymer
- Polychloroprene (Neoprene)
- Isobutylene Isoprene (Butyl)
- Acrylonitrile (Nitrile)
- Ethylene Propylene
- Fluorocarbon (Viton)
- Perfluoroelastomer
- Hydrogenated Acrylonitrile
- Epichlorohydrin (Hydrin)
- Polyurethane
- Poly-Vinyl Methyl Siloxane (Silicone)
- Poly-FluoroVinyl Siloxane (Fluorosilicone)
- Cork
- Cotton
- Nylon
- Polyester
- Felt
- Fiberglass fire resistant fabric

## **GGB**

Filament Wound Bearings  
MLG Bearings  
Garmax Bearings  
Saver Megalife XT Washers  
Multifil 427 Bearings  
EP Grade Plastics (excluding Multilube)